



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DA C  
S \$  
PATENT  
E #24

**In re Application of:  
John C. Harvey and James W. Cuddihy:**

Serial No.: 08/477,711

Group Art Unit: 2737

Filed: June 7, 1995

**Examiner:** FAILE, A.

## **For: SIGNAL PROCESSING APPARATUS AND METHODS**

Attorney Docket: 05634.0312

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

**Commissioner for Patents and Trademarks  
Washington, D.C. 20231**

JAN 4 2001

## **OFFICE OF PETITIONS**

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the “field of use” clause in the application’s assignee’s license contract with a firm that is a large entity, it has come to the applicant’s attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/477,711 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above referenced application Serial No. 08/477,711 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3245 for the recalculated fees of \$740.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of the applications.

01/05/2001 SUAWE61 00000004 08477711

01-FC:699

~~740.00~~ 0P

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

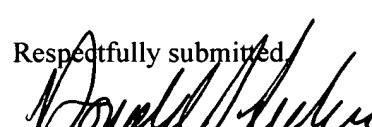
| Description of Submission to PTO             | Amount Deficient |
|--|------------------|
| New application filing:                      | \$-              |
| Preliminary amendments:                      |                  |
| Response to first action new claim fees:     | \$275.00         |
| Response to first action extention of time:  | \$465.00         |
| Response to second action new claim fees:    |                  |
| Response to second action extention of time: |                  |
| Response to final action new claim fees:     |                  |
| Response to final action extention of time:  |                  |
| 1.129(a) submission:                         |                  |
|  | <b>TOTAL</b>     |
|  | \$740.00         |

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,

  
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Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

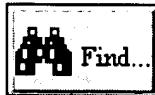
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Accounting Date

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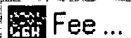
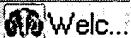


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| 06/22/1998      | SSALEEKU    | 20       | SALE    | 146      | A  | 790.00  | 08477711    |                     |
| 06/22/1998      | SSALEEKU    | 19       | SALE    | 115      | A  | 110.00  | 08477711    |                     |
| 07/09/1997      | NVILLARI    | 82       | RP      | 701      | A  | -242.00 | 08477711    | 083038              |
| 07/09/1997      | NVILLARI    | 81       | SALE    | 203      | A  | -275.00 | 08477711    | RECEIVED            |
| 07/09/1997      | NVILLARI    | 80       | SALE    | 217      | A  | -465.00 | 08477711    |                     |
| 09/20/1995      | 310RR       | 12601    | CRDA    | 101 ✓    | A  | 365.00  | 08477711    | JAN 4 2001          |
| 08/07/1995      | 240AH       | 5102     | CRDA    | 101 ✓    | A  | 365.00  | 08477711    |                     |
| 08/07/1995      | 240AH       | 5101     | CRDA    | 201      | A  | -365.00 | 08477711    | OFFICE OF PETITIONS |



Adjustment Detail

Authorization Detail



2:44 PM

Adjustment date: 01/08/2001 SLUANG1  
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Adjustment date: 01/08/2001 SLUANG1  
 07/09/1997 NVILLARI 00000040 08477711  
 02 FC:203 -275.00 OP *25*

Adjustment date: 01/08/2001 SLUANG1  
 01/05/2001 SLUANG1 0000004 08477711  
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